



CYNGOR SIR
YNYS MÔN
ISLE OF ANGLESEY
COUNTY COUNCIL

AUDIT & GOVERNANCE COMMITTEE

FORWARD WORK PROGRAMME

3 September 2019

Contact Officer:	Marion Pryor, Head of Internal Audit & Risk
E-Mail:	MarionPryor@ynysmon.gov.uk
Telephone:	01248 756211

Date	Subject	Reason for reporting	Responsible Officer (including e-mail address)
December 2019 February 2020	Internal Audit Update	An update on Internal Audit's latest progress in terms of its service delivery, assurance provision, reviews completed, performance and effectiveness in driving improvement.	Head of Internal Audit & Risk MarionPryor@ynysmon.gov.uk
December 2019 February 2020	External Audit Update	An update on External Audit's work: <ul style="list-style-type: none"> • Performance Audit • Financial Audit 	Performance Audit Lead – Wales Audit Office Alan.Hughes@audit.wales Financial Audit Manager – Deloitte cedge@deloitte.co.uk
December 2019	Introduction of Risk Based Verification (RBV) - Housing Benefits/Council Tax Reduction	The Department for Work and Pensions requires local authorities to have a policy detailing the risk profiles, verification standards that will apply, and the minimum number of claims to be checked. It considers, as good practice, for the authority's Audit Committee or similar appropriate body to examine the policy, which then must be submitted for Members' approval and sign-off along with a covering report confirming the Section 151 Officer's agreement and recommendation.	Director of Resources and Section 151 Officer MarcJones@ynysmon.gov.uk Revenues and Benefits Service Manager GeraintJones3@ynysmon.gov.uk

Date	Subject	Reason for reporting	Responsible Officer (including e-mail address)
December 2019	Annual ICT Security Report 2018/19	Annual report of the ICT Business Transformation Manager on digital data security/cyber security threats to the Council and the arrangements in place to manage those risks.	ICT Business Transformation Manager
December 2019	Review of the Audit and Governance Committee's Terms of Reference	The Audit and Governance Committee should periodically review its terms of reference for appropriateness, with consideration given to sector guidance and the needs of the Council.	Director of Resources and Section 151 Officer MarcJones@ynysmon.gov.uk
December 2019	Review of the Risk Management Strategy and Framework	In accordance with its terms of reference, the Audit and Governance Committee is required to keep under review the Risk Management Strategy for the Council.	Head of Internal Audit & Risk MarionPryor@ynysmon.gov.uk
December 2019	Mid-year Report on Treasury Management for 2019/20	CIPFA's Treasury Management Practice Reporting requirements and management information arrangements recommend that local authorities should, as a minimum, report the treasury management position mid-year. The Committee is requested to note the current position on investments and borrowing.	Director of Resources and Section 151 Officer MarcJones@ynysmon.gov.uk

Date	Subject	Reason for reporting	Responsible Officer (including e-mail address)
February 2020	Outstanding Internal Audit Issues / Risks	A report of all outstanding internal audit Issues / Risks	Head of Internal Audit & Risk MarionPryor@ynysmon.gov.uk Principal Auditor NanetteWilliams@ynysmon.gov.uk
February 2020	Corporate Risk Register	In accordance with its terms of reference, the Audit and Governance Committee is required to review the Corporate Risk Register and, where appropriate, request a response from management on actions to manage risks.	Head of Internal Audit & Risk MarionPryor@ynysmon.gov.uk Insurance & Risk Manager JulieJones@ynysmon.gov.uk
February 2020	Progress made on External Regulatory Reports	The Audit and Governance Committee is requested to consider the progress made on external regulatory reports, which are directly related to the issues of governance or the management of risk within the Council.	Programme, Business Planning & Performance Manager GethinMorgan@ynysmon.gov.uk
February 2020	Internal Audit Strategy 2020/21	The Public Sector Internal Audit Standards (2017) requires the chief audit executive to present the Internal Audit Strategy to the Audit and Governance Committee for approval.	Head of Internal Audit & Risk MarionPryor@ynysmon.gov.uk

Date	Subject	Reason for reporting	Responsible Officer (including e-mail address)
February 2020	Treasury Management Strategy 2020/21 and Actual Prudential Indicators for 2020/21	<p>CIPFA's Treasury Management Practice Reporting requirements and management information arrangements recommend that local authorities should, as a minimum, report annually on their treasury management strategy and plan, before the start of the year.</p> <p>The report will cover the actual Prudential Indicators for 2020/21 in accordance with the requirements of the Prudential Code.</p>	<p>Director of Resources and Section 151 Officer MarcJones@ynysmon.gov.uk</p>